

Snow crystals grown on ice needles



EXPLAINING THE NAKAYA DIAGRAM

150%

1 13 -- // -- // 7%-0.5 C -24 C Goal: A comprehensive physical model \rightarrow Quantitative computational modeling \rightarrow Reproduce robust growth morphologies and growth rates ... a challenging task!

EXPLAINING THE NAKAYA DIAGRAM

150%

- - - - - - - -1-1-1-1-1-1-1 --// 17 1 -1 1 1 -1 -1 -1 -1 -1 1 7% -0.5 C -24 C Step 1: Diffusion-limited growth (particle diffusion; heat diffusion less important in air) Underlying physics well understood, easy to develop algorithms ... $\nabla^2 \sigma = 0$ Can be difficult to solve for complex structures (see Lecture 3) \rightarrow vertical axis

EXPLAINING THE NAKAYA DIAGRAM

150%

- - - - - - -8 1 -1 1 1 -1 -1 -1 -1 -1 -1 1 4 1 -0.5 C -24 C

0.5 C Step 2: Attachment kinetics ... $v_n = \alpha v_{kin} \sigma_{surf}$; $0 \le \alpha \le 1$... attachment coefficient -24 Underlying physics poorly understood, molecular dynamics of crystal growth Large anisotropy → faceting → horizontal axis

THE MOLECULAR DYNAMICS OF CRYSTAL GROWTH



Attachment Kinetics

A collection of physical processes describing crystal growth. Hertz-Knudsen relation (1882) (here for ice growth from water vapor):





Condensed-matter physics: Reduce complex many-body problem to essential processes...





fast attachment at terrace steps

→ Faceted ice surfaces (basal and prism): $\alpha(\sigma) \ll 1$

 \rightarrow Essential to understand α_{basal} and α_{prism}

TERRACE NUCLEATION THEORY





All three are equilibrium material properties

2D Gibbs-Thomson effect for molecular island terrace:

$$c_{eq} \approx c_{sat} \left(1 + \frac{a^2}{kTR} \frac{\beta}{R} \right)$$
 β = terrace "step energy" a = size of molecule
 R = radius of terrace

Small islands unstable to sublimation \rightarrow a 2D nucleation problem

Stat mech of island dynamics
$$\rightarrow \left(\begin{array}{l} \alpha(\sigma_{surf}) = Ae^{-\sigma_0/\sigma_{surf}} \\ \sigma_0(T) \approx \frac{a^2}{k^2 T^2} \beta^2 \end{array} \right) \sigma_0 =$$
nucleation barrier

Theory $\rightarrow \sigma_0$ robust feature, A model dependent (ignore weak dependence on σ_{surf}) Result from ~1950s, responsible for most faceting phenomena (if no dislocations)

TERRACE NUCLEATION – APPLICATION TO ICE

Watch ice crystals grow as a function of σ_{surf} Usually in near vacuum, so uniform $\sigma \approx \sigma_{surf}$ 250 $v\sim e^{-\sigma_0/\sigma}$ 200 Growth Velocity (nm/sec) 150 100 50 nucleation barrier 0 0.2 0.8 1.0 0.0 0.4 0.6 Supersaturation (percent)

 σ_0 = adjustable parameter in fit \rightarrow use growth to measure $\sigma_0 \rightarrow$ measure β Ice growth usually acts as if dislocation-free



Kenneth G. Libbrecht and Mark E. Rickerby, Measurements of surface attachment kinetics for faceted ice crystal growth, J. Crystal Growth 377, 1-8, 2013. Preprint at arXiv:1208.5982.

<u>TERRACE NUCLEATION – LABORATORY MEASUREMENTS</u>







Ideal experimental geometry

Measuring the basal growth rate using white-light interferometry

- Drop thin hexagonal plate on substrate (~50 µm diameter)
- ➤ White light reflects from ice/air and ice/substrate interfaces → interference
- Measure absolute thickness from fringes
- Supersaturation from $(T_{reservoir} T_{substrate})$





<u>TERRACE NUCLEATION – LABORATORY MEASUREMENTS</u> Reality check: $\alpha(\sigma)$ convergence $\alpha(\sigma_{surf}) = Ae^{-\sigma_0/\sigma_{surf}}$ 10 σ_0 (percent) **Basal Facet** 0.1 $\overset{\text{lass}}{\overset{\text{d}}}{\overset{\text{d}}{\overset{\text{d}}{\overset{\text{d}}{\overset{\text{d}}{\overset{\text{d}}{\overset{\text{d}}{\overset{\text{d}}{\overset{\text{d}}}{\overset{\text{d}}{\overset{\text{d}}{\overset{\text{d}}{\overset{\text{d}}}{\overset{\text{d}}{\overset{\text{d}}{\overset{\text{d}}{\overset{\text{d}}}{\overset{\text{d}}{\overset{\text{d}}}{\overset{\text{d}}{\overset{\text{d}}}{\overset{\text{d}}{\overset{\text{d}}}{\overset{\text{d}}{\overset{\text{d}}}{\overset{\text{d}}}{\overset{\text{d}}}{\overset{{}}{\overset{\text{d}}}{\overset{\text{d}}}{\overset{{}}}{\overset{{}}}{\overset{{}}}{\overset{{}}}{\overset{{}}}{\overset{{}}}{\overset{{}}}{\overset{{}}}{\overset{{}}}{\overset{{}}}{\overset{{}}}{\overset{{}}}{\overset{{}}}{\overset{{}}}{\overset{{}}}{\overset{{}}}{\overset{{}}}}{\overset{{}}}{\overset{{}}}{\overset{{}}}{\overset{{}}}{\overset{{}}}}{\overset{{}}}{\overset{{}}}{\overset{{}}}}{\overset{{}}}{\overset{{}}}{\overset{{}}}{\overset{{}}}}{\overset{{}}}{\overset{{}}}}{\overset{{}}}{\overset{{}}}}{\overset{{}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}{\overset{{}}}}{\overset{{}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}{\overset{{}}}}{}\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{}\overset{{}}}}{\overset{{}}}}{}\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{}\overset{{}}}}{\overset{{}}}}{}\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{}\overset{{}}}}{}\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{}\overset{{}}}}{}\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}{\overset{{}}}}}{\overset{{}}}}{\overset{{}}}}}{\overset{{}}}}{\overset{{}}}}{\overset{}$ 10C 0.1 Prism Facet -20C 1E-3 0.01 200 400 600 800 1000 0 $1/\sigma_{surf}$ 1 **Basal Facet** ∢ Data not constrained to yield $\alpha \rightarrow 1$ at high supersaturation 0.1 Prism Facet Makes physical sense far above the nucleation barrier... 10 But prism facet behaves differently (???) 1 (T_m - T) (C)

TERRACE NUCLEATION & SURFACE PREMELTING



<u>STEP ENERGIES & SURFACE PREMELTING – THE LOW-T LIMIT</u>



Step energy is *equilibrium* material property Should be able to calculate from MD simulations... $\leftarrow \text{Convert } \sigma_0(T) \text{ to } \beta(T)$ Then consider step geometry...



Physical picture (qualitative):

- At low temperature, no surface premelting \rightarrow simple molecular step $\rightarrow \beta \approx \beta_0$
- At higher temps, surface premelting "smooths" step, lowers step energy



ICE FACET GROWTH & THE NAKAYA DIAGRAM





Snow crystal growth in air

Measurements of facet growth in near-vacuum conditions **cannot** explain essential features of Nakaya diagram...

Not diffusion-limited growth; need new physics in the attachment kinetics...

<u>A KEY OBSERVATION:</u> Sharp edges exhibit anomalously high attachment kinetics [1. Rough = fast kinetics] [2. Facets = terrace nucleation] [3. Narrow facets = ???] sharp edges



Narrow edge facets; last terrace ~1000 molecules wide No narrow facets in vacuum

SDAK: Structure Dependent Attachment Kinetics

Hypothesis: Not the Large facet \rightarrow normal terrace nucleation model (measured σ_0) ... done Mullins-Sekerka Narrow facet (edge) \rightarrow higher α , smaller nucleation barrier (reduced σ_0) instability! \rightarrow an edge-sharpening instability (in air) c axis hollow column Assume SDAK hypothesis... growing slowly \rightarrow Abrupt changes in *anisotropy* of the attachment kinetics \rightarrow thin edges \rightarrow Can have abrupt morphological changes with temp plate on pedesta \rightarrow Explains this aspect of Nakaya diagram

 \rightarrow Explains why no narrow facets in vacuum



KGL, Explaining the formation of thin ice-crystal plates with structure-dependent attachment kinetics, J. Cryst. Growth 258, 168-175, 2003.

SDAK: Test hypothesis using measurements

Plates on e-needles as function of T and σ_{∞}



SDAK model predicts:

- → Basal growth follows terrace nucleation model with known σ_0
- ➢ Prism growth has reduced σ_0 , α_{prism} → 1 at high growth rates

Cannot solve diffusionlimited growth fully...

But can measure ratios using "witness surfaces"

SDAK: TEST HYPOTHESIS USING MEASUREMENTS



→ Data support SDAK model ... $\alpha_{prism} \rightarrow 1$ while α_{basal} fits terrace nucleation model



KGL, Toward a comprehensive model of snow crystal growth: 8. Characterizing structure-dependent attachment kinetics near -14 C, arXiv:2009.08404, 2020.

Plates on e-needles at $T = -14 C \dots$

SDAK: Test hypothesis using measurements



→ Data support SDAK model ... $\alpha_{basal} \rightarrow 1$ while α_{prism} fits terrace nucleation model



KGL, Toward a comprehensive model of snow crystal growth: 9. Characterizing structure-dependent attachment kinetics near -4 C, arXiv:2011.02353, 2020.

SDAK: Test hypothesis using measurements



Putting all the data together \rightarrow a pair of "SDAK dips"

 \rightarrow Data support fundamental tenet of SDAK model:

Narrow basal facets grow anomalously fast near -4C (model with small nucleation barrier $\sigma_{0,basal}$) Narrow prism facets growth anomalously fast near -14C (model with small nucleation barrier $\sigma_{0,prism}$)







THE SDAK MODEL & THE NAKAYA DIAGRAM



Add the SDAK dips \rightarrow Can explain the full Nakaya diagram ... and broad facet growth, and air-pressure dependence ... Best model we have so far...

At this point, still a *semi-quantitative* model, based solely on observations ... What is physical mechanism responsible for the SDAK effect?

SDAK: A PHYSICAL MODEL



KGL, A quantitative physical model of the snow crystal morphology diagram, arXiv:1910.09067, 2019.

SDAK, SURFACE PREMELTING, & THE EHRLICH-SCHWOEBEL BARRIER



Final Result: a *plausible* physical mechanism for the SDAK phenomenon To complete the picture: **Postulate** onset of prism premelting at -14C, onset of basal premelting at -4C

KGL, A quantitative physical model of the snow crystal morphology diagram, arXiv:1910.09067, 2019.



THE SDAK MODEL & THE NAKAYA DIAGRAM



SDAK dips \rightarrow Can explain the full Nakaya diagram Plausible physical mechanism based on generally well-accepted physical assumptions Explains why high anisotropy not seen in low-pressure growth

SDAK model makes lots of predictions ... suggests targeted experiments Other explanations of the Nakaya diagram? None that fit the existing quantitative data "Something else" makes no useful predictions...

EXPLAINING TRIANGULAR SNOW CRYSTALS

Another old puzzle...



William Scoresby, An Account of the Arctic Regions with a History and Description of the Northern Whale-Fishery, Edinburgh, 1820.







EXPLAINING TRIANGULAR SNOW CRYSTALS

Consider the formation of plates on electric needles...



Fast growth

Edge-Sharpening Instability*** propagates around column...

 \rightarrow Full hexagonal plate grows from columnar tip



EXPLAINING TRIANGULAR SNOW CRYSTALS – VALIDATES SDAK MODEL



Slow growth

Edge-Sharpening Instability barely functions

- → propagates *slowly* around column...
- \rightarrow Triangular plate



First on-demand triangular snow crystals!





SDAK dips → Can explain the full Nakaya diagram Plausible physical mechanism based on generally well-accepted physical assumptions Explains why high anisotropy not seen in low-pressure growth

SDAK model makes lots of predictions ... suggests more targeted experiments Other explanations of the Nakaya diagram? None that fit the existing quantitative data

Not the last word ... but the start of an interesting dialog! (see Lecture 3)



KENNETH G. LIBBRECHT

-15°C 222 science, made by the good folks at Veritasium.

>> You can find the FULL story about the science of snow crystal formation in my magnum opus at right (weighting in at 456 pages)